

PATENT  
81788.0267

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of:

NITTA, et al.

Serial No: 10/795,839

Filed: March 8, 2004

For: LIGHT EMITTING DEVICE

Art Unit: 2814

Examiner: Wael M. Fahmy

**TRANSMITTAL OF  
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sirs:

1. ☐ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
2. ☒ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(b), but *before* the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☒ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:	
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450, on January 14, 2005	
Date of Deposit	
Shingale Ferguson Name	
Signature	January 14, 2005 Date

OR FEE

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). [OR:] Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed.
3. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).

§1.97(e) STATEMENT

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

AND FEE

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).
4. ☒ If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. **A copy of this petition is enclosed.**
5. ☒ A list of 3 reference(s) is in the enclosed Form PTO-1449.

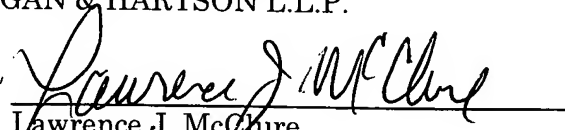
NON-ENGLISH LANGUAGE REFERENCES

- ☒ Enclosed is a Chinese language Office Action and the English translation thereof for a counterpart application.

- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

Respectfully submitted,  
HOGAN & HARTSON L.L.P.

By:

  
Lawrence J. McClure  
Registration No. 44,228  
Attorney for Applicant(s)

Date: January 14, 2005

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**Application Number**  
10/795,839

NITTA, et al.

**Filing Date**  
March 8, 2004

**Group Art Unit**  
2814

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
1. TITLE AND SUBTITLE	2001-015794	01/19/01	JAPAN			Abstract	
2. AUTHOR	2000-208818	07/28/00	JAPAN			Abstract	
3. TITLE AND SUBTITLE	2001-059922	03/06/01	JAPAN			Abstract	
4. AUTHOR							
5. TITLE AND SUBTITLE							

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

1. <b>NAME</b> 2. <b>DATE</b> 3. <b>TIME</b> 4. <b>LOCATION</b> 5. <b>REMARKS</b> 6. <b>SIGNATURE</b> 7. <b>DATE</b> 8. <b>TIME</b> 9. <b>LOCATION</b> 10. <b>REMARKS</b> 11. <b>SIGNATURE</b> 12. <b>DATE</b> 13. <b>TIME</b> 14. <b>LOCATION</b> 15. <b>REMARKS</b> 16. <b>SIGNATURE</b> 17. <b>DATE</b> 18. <b>TIME</b> 19. <b>LOCATION</b> 20. <b>REMARKS</b> 21. <b>SIGNATURE</b> 22. <b>DATE</b> 23. <b>TIME</b> 24. <b>LOCATION</b> 25. <b>REMARKS</b> 26. <b>SIGNATURE</b> 27. <b>DATE</b> 28. <b>TIME</b> 29. <b>LOCATION</b> 30. <b>REMARKS</b> 31. <b>SIGNATURE</b> 32. <b>DATE</b> 33. <b>TIME</b> 34. <b>LOCATION</b> 35. <b>REMARKS</b> 36. <b>SIGNATURE</b> 37. <b>DATE</b> 38. <b>TIME</b> 39. <b>LOCATION</b> 40. <b>REMARKS</b> 41. <b>SIGNATURE</b> 42. <b>DATE</b> 43. <b>TIME</b> 44. <b>LOCATION</b> 45. <b>REMARKS</b> 46. <b>SIGNATURE</b> 47. <b>DATE</b> 48. <b>TIME</b> 49. <b>LOCATION</b> 50. <b>REMARKS</b> 51. <b>SIGNATURE</b> 52. <b>DATE</b> 53. <b>TIME</b> 54. <b>LOCATION</b> 55. <b>REMARKS</b> 56. <b>SIGNATURE</b> 57. <b>DATE</b> 58. <b>TIME</b> 59. <b>LOCATION</b> 60. <b>REMARKS</b> 61. <b>SIGNATURE</b> 62. <b>DATE</b> 63. <b>TIME</b> 64. <b>LOCATION</b> 65. <b>REMARKS</b> 66. <b>SIGNATURE</b> 67. <b>DATE</b> 68. <b>TIME</b> 69. <b>LOCATION</b> 70. <b>REMARKS</b> 71. <b>SIGNATURE</b> 72. <b>DATE</b> 73. <b>TIME</b> 74. <b>LOCATION</b> 75. <b>REMARKS</b> 76. <b>SIGNATURE</b> 77. <b>DATE</b> 78. <b>TIME</b> 79. <b>LOCATION</b> 80. <b>REMARKS</b> 81. <b>SIGNATURE</b> 82. <b>DATE</b> 83. <b>TIME</b> 84. <b>LOCATION</b> 85. <b>REMARKS</b> 86. <b>SIGNATURE</b> 87. <b>DATE</b> 88. <b>TIME</b> 89. <b>LOCATION</b> 90. <b>REMARKS</b> 91. <b>SIGNATURE</b> 92. <b>DATE</b> 93. <b>TIME</b> 94. <b>LOCATION</b> 95. <b>REMARKS</b> 96. <b>SIGNATURE</b> 97. <b>DATE</b> 98. <b>TIME</b> 99. <b>LOCATION</b> 100. <b>REMARKS</b> 101. <b>SIGNATURE</b> 102. <b>DATE</b> 103. <b>TIME</b> 104. <b>LOCATION</b> 105. <b>REMARKS</b> 106. <b>SIGNATURE</b> 107. <b>DATE</b> 108. <b>TIME</b> 109. <b>LOCATION</b> 110. <b>REMARKS</b> 111. <b>SIGNATURE</b> 112. <b>DATE</b> 113. <b>TIME</b> 114. <b>LOCATION</b> 115. <b>REMARKS</b> 116. <b>SIGNATURE</b> 117. <b>DATE</b> 118. <b>TIME</b> 119. <b>LOCATION</b> 120. <b>REMARKS</b> 121. <b>SIGNATURE</b> 122. <b>DATE</b> 123. <b>TIME</b> 124. <b>LOCATION</b> 125. <b>REMARKS</b> 126. <b>SIGNATURE</b> 127. <b>DATE</b> 128. <b>TIME</b> 129. <b>LOCATION</b> 130. <b>REMARKS</b> 131. <b>SIGNATURE</b> 132. <b>DATE</b> 133. <b>TIME</b> 134. <b>LOCATION</b> 135. <b>REMARKS</b> 136. <b>SIGNATURE</b> 137. <b>DATE</b> 138. <b>TIME</b> 139. <b>LOCATION</b> 140. <b>REMARKS</b> 141. <b>SIGNATURE</b> 142. <b>DATE</b> 143. <b>TIME</b> 144. <b>LOCATION</b> 145. <b>REMARKS</b> 146. <b>SIGNATURE</b> 147. <b>DATE</b> 148. <b>TIME</b> 149. <b>LOCATION</b> 150. <b>REMARKS</b> 151. <b>SIGNATURE</b> 152. <b>DATE</b> 153. <b>TIME</b> 154. <b>LOCATION</b> 155. <b>REMARKS</b> 156. <b>SIGNATURE</b> 157. <b>DATE</b> 158. <b>TIME</b> 159. <b>LOCATION</b> 160. <b>REMARKS</b> 161. <b>SIGNATURE</b> 162. <b>DATE</b> 163. <b>TIME</b> 164. <b>LOCATION</b> 165. <b>REMARKS</b> 166. <b>SIGNATURE</b> 167. <b>DATE</b> 168. <b>TIME</b> 169. <b>LOCATION</b> 170. <b>REMARKS</b> 171. <b>SIGNATURE</b> 172. <b>DATE</b> 173. <b>TIME</b> 174. <b>LOCATION</b> 175. <b>REMARKS</b> 176. <b>SIGNATURE</b> 177. <b>DATE</b> 178. <b>TIME</b> 179. <b>LOCATION</b> 180. <b>REMARKS</b> 181. <b>SIGNATURE</b> 182. <b>DATE</b> 183. <b>TIME</b> 184. <b>LOCATION</b> 185. <b>REMARKS</b> 186. <b>SIGNATURE</b> 187. <b>DATE</b> 188. <b>TIME</b> 189. <b>LOCATION</b> 190. <b>REMARKS</b> 191. <b>SIGNATURE</b> 192. <b>DATE</b> 193. <b>TIME</b> 194. <b>LOCATION</b> 195. <b>REMARKS</b> 196. <b>SIGNATURE</b> 197. <b>DATE</b> 198. <b>TIME</b> 199. <b>LOCATION</b> 200. <b>REMARKS</b> 201. <b>SIGNATURE</b> 202. <b>DATE</b> 203. <b>TIME</b> 204. <b>LOCATION</b> 205. <b>REMARKS</b> 206. <b>SIGNATURE</b> 207. <b>DATE</b> 208. <b>TIME</b> 209. <b>LOCATION</b> 210. <b>REMARKS</b> 211. <b>SIGNATURE</b> 212. <b>DATE</b> 213. <b>TIME</b> 214. <b>LOCATION</b> 215. <b>REMARKS</b> 216. <b>SIGNATURE</b> 217. <b>DATE</b> 218. <b>TIME</b> 219. <b>LOCATION</b> 220. <b>REMARKS</b> 221. <b>SIGNATURE</b> 222. <b>DATE</b> 223. <b>TIME</b> 224. <b>LOCATION</b> 225. <b>REMARKS</b> 226. <b>SIGNATURE</b> 227. <b>DATE</b> 228. <b>TIME</b> 229. <b>LOCATION</b> 230. <b>REMARKS</b> 231. <b>SIGNATURE</b> 232. <b>DATE</b> 233. <b>TIME</b> 234. <b>LOCATION</b> 235. <b>REMARKS</b> 236. <b>SIGNATURE</b> 237. <b>DATE</b> 238. <b>TIME</b> 239. <b>LOCATION</b> 240. <b>REMARKS</b> 241. <b>SIGNATURE</b> 242. <b>DATE</b> 243. <b>TIME</b> 244. <b>LOCATION</b> 245. <b>REMARKS</b> 246. <b>SIGNATURE</b> 247. <b>DATE</b> 248. <b>TIME</b> 249. <b>LOCATION</b> 250. <b>REMARKS</b> 251. <b>SIGNATURE</b> 252. <b>DATE</b> 253. <b>TIME</b>
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**-EXAMINER**

**DATE CONSIDERED**

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.